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To:

420 Rec'd PCT/PTO 2 9 NOV 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE REQUEST FOR FILING NATIONAL PHASE OF

PCT APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.494 OR 1.495
Asst. Commissioner of Patents

Washington, D.C. 20231 TRANSMITTAL LETTER TO THE UNITED STATES. Atty Dkt: PM 265122 C1517US Client Ref. DESIGNATED/ELECTED OFFICE (DO/EO/US) M# November 28, 1999 (Sunday) From: Pillsbury Madison & Sutro LLP, IP Group: Date: November 29, 1999 This is a REQUEST for FILING a PCT/USA National Phase Application based on: 1. International Application International Filing Date 3. Earliest Priority Date Claimed PCT/EP98/03095 26 May 1998 28 May 1997 MONTH Year MONTH Year ☆ country code Day Day (use item 2 if no earlier priority) 4. Measured from the earliest priority date in item 3, this PCT/USA National Phase Application Request is being filed within: (a) 20 months from above item 3 date (b) 30 months from above item 3 date. (c) Therefore, the due date (unextendable) is November 28, 1999 Title of Invention METHOD AND DEVICE FOR REGULATING THE COATING THICKNESS, ESPECIALLY 5. BOND COATING THICKNESS 6 Inventor(s) BECKER et al. Applicant herewith submits the following under 35 U.S.C. 371 to effect filing: Please immediately start national examination procedures (35 U.S.C. 371 (f)). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (file if in English but, if in foreign language, file only if not transmitted to PTO by the International Bureau) including: a. Request: b. Abstract pgs. Spec. and Claims; sheet(s) Drawing which are informal I formal of size □ A4 □ 11" 9 A copy of the International Application has been transmitted by the International Bureau. 10. A translation of the International Application into English (35 U.S.C. 371(c)(2)) is transmitted herewith including: (1) Request; (2) Abstract; pgs. Spec. and Claims; sheet(s) Drawing which are: formal of size □ A4 ☐ 11" is not required, as the application was filed in English. h is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements C. Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.

Translation statement attached (not required now).

| RE: US | SA Natio | onal Filing of PCT/_ <u>EP98</u> / 03095 420 Rec'd PCT/PTO 2 9 NOV 1999 |
|-------------------|-----------------------------------|--|
| 11. | `⊠ a. ⊠ b. □ | PLEASE AMEND the specification before its first line by inserting as a separate paragraph: This application is the national phase of international application PCT /EP98 /03095 filed May 26, 1998 which designated the U.SThis application also claims the benefit of U.S. Provisional Application No. |
| 12. | | 60/ |
| 13. | \boxtimes | PCT Article 19 claim amendments (if any) have been transmitted by the International Bureau |
| 14. | ⊠ | Translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., of claim amendments made before 18th month, is attached (required by 20th month from the date in item 3 if box 4(a) above is X'd, or 30th month if box 4(b) is X'd, or else amendments will be considered canceled). |
| 15. | A dec a. ☐ b. ⊠ | laration of the inventor (35 U.S.C. 371(c)(4)) is submitted herewith |
| 16. | | ternational Search Report (ISR): s prepared by |
| 17. | a. ⊠ b. ⊠ c.1 □ | during Examination) including attached amended: |
| | c.2 🗌 | Specification/claim pages # claims # Dwg Sheets # translation of Annex(es) to IPER (required by 30 th month due date, or else annexed |
| | d. 🏹 | amendments will be considered canceled). |
| 18. | inforr a. ⊠ b. ⊠ c. ⊠ | nation Disclosure Statement including: Attached Form PTO-1449 listing documents Attached copies of documents listed on Form PTO-1449 A concise explanation of relevance of ISR references is given in the ISR. |
| 19. | | Assignment document and Cover Sheet for recording are attached. Please mail the recorded assignment document back to the person whose signature, name and address appear at the end of this letter. |
| 20. | | Copy of Power to IA agent. |
| 21. | | Drawings (complete only if 8d or 10a(4) not completed): sheet(s) per set: ☐ 1 set informal; ☐ 1 set formal of size ☐ A4 ☐ 11" |
| 22. | | (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27 |
| (1) (3) (5) | filed in (countr <u>A</u> t | pplication No. Filing Date 407.5 29 May 1997 (2) (4) (6) See Form PCT/IB/304 sent to US/DO with copy of priority documents. If copy has not been |
| | b. 🗆 | received, <u>please proceed promptly to obtain same from the IB</u> . Copy of Form PCT/IB/304 attached. |

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Intellectual Property Group

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| Atty/Sec: JMF/jrh | // // | | | |

NOTE: File in duplicate with 2 postcard receipts (PAT-103) & attachments.

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| inventor(s): BECKER et al. | or Patent No.: | (Atty. Dkt.) 265122/C15 | 17119 |
| Appln. No.: / | or Issued.: | M# / Client | |
| Filed: November 29, 1999 Title: METHOD AND DEVICE FO | | COATING THICKNESS, ESPECIALLY BON | |
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| CFR 121.12, and referenced in 37 Cf Title 35, United States Code, in that t exceed 500 persons. For purposes c average over the previous fiscal year basis during each of the pay periods directly or indirectly, one concern | FR 1.9(d), for purposes the <u>number of employee</u> of this statement. (1) the of the concern of the poof the fiscal year, and (2 trols or has the power to | ern qualifies as a small business concern at of paying reduced fees under Section 41(a) s of the concern, including those of its affilia number of employees of the business concersons employed an a full-time, part-time or concerns are affiliates of each other where o control the other, or a third party or parties | and (b) of ates, does not cern is the r temporary n either, s controls or |
| <u>I hereby state</u> that rights under contridentified above with regard to the ab | ract or law have been co love entitled invention, in | onveyed to and remain with the small busing envented by the above inventor(s) and descr | ass concern ibed in: |
| x → ☐ the specification filed here one → ☐ Application No. 0 / box → ☐ Patent No, issued | , filed November 29. | 1999 | |
| and (D) holow and no righte to the invention are held by | any nerson, other than the inventor. | Il entity individual, concern or organization having rights to the inv who could not qualify under 37 CFR 1.9(c) as an independent inv ern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFI | entor if that person |
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| | JSINESS CONCERN | ☐ NONPROFIT ORGANIZATION | |
| (B) FULL NAME of assignee/licen: ADDRESS | see/grantee/conveyee* | | |
| | USINESS CONCERN | ☐ NONPROFIT ORGANIZATION | |
| *NOTE: <u>Separate statement is required</u> status as a small entity. (37 C | d from each person, concern or organ FR 1.27) | ization named in (A) and (B) above having rights to the Invention, as | erring to his/her/fts |
| l acknowledge the duty to file, in this case, notification of earliest of the issue fee or any maintenance fee due after | f any change in status resulting in lo er the date on which status as a sma | ss of entitlement to small entity status prior to paying, or at the tim ill entity is no longer appropriate. (37 CFR 1.28(b)) | e of paying, the |
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Stage Patent Application of PCT/EP98/03095:

BECKER et al.

Group Art Unit: Not Yet Assigned

Appln. No.: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: November 29, 1999

FOR: METHOD AND DEVICE FOR REGULATING THE COATING

THICKNESS, ESPECIALLY BOND COATING THICKNESS

November 29, 1999

PRELIMINARY AMENDMENT

Hon. Commissioner of Patents and Trademarks Washington, DC 20231

Sir:

Before beginning examination, kindly amend this application as follows:

IN THE SPECIFICATION:

Please change the PCT title to read --METHOD AND DEVICE FOR REGULATING THE COATING THICKNESS, ESPECIALLY BOND COATING THICKNESS--.

IN THE CLAIMS:

Please amend the replacement claims from the Annex to the International Preliminary Examination Report as follows:

Claim 3, line 1, delete "or 2,"

Claim 4, line 1, delete "claims 1 to 3" and insert -- claim 1--.

U.S. National Stage Application of PCT/EP98/03095 BECKER et al., atty. dkt. 265122

Claim 5, line 1, delete "claims 1 to 4" and insert --claim 1--.

Claim 7, line 1, delete "any one of claims 1 to 6" and insert -- claim 1--.

Claim 9, line 1, delete "or 8."

Claim 10, line 1, delete "any one of claims 1 to 9" and insert --claim 1--.

Claim 12, line 1, delete "any one of claims 1 to 9" and insert -- claim 1--.

REMARKS

Upon entry of this Preliminary Amendment, there will be no multiple dependent claims in this application.

Respectfully submitted,

PILLSBURY MADISON & SUTRO

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3/PRTS 420 Rec'd PCT/PTO 2 9 NOV 1999

Method and Device for Regulating the Coating Thickness, **Especially Bond Coating Thickness**

The invention relates to a method and a device for regulating or controlling the coating or layer thickness, especially the bond coating thickness and can be used in particular in the production of DVDs (digital versatile disks, i.e. versatile writable and readable storage disks).

DE-C1-196 05 601 already describes a device for a controlled surface coating. By means of a nozzle which can be moved parallel to a substrate surface, a lacquer or varnish is applied uniformly, and by a digitally controllable step motor, the nozzle can be moved to any desired location during operation, and thus the surface to be coated can be determined. The influence of the temperature of the substrate to be coated, the temperature of the coating material and its viscosity are not taken into account during coating.

DE-A1-38 22 835 discloses a method and a device for lacquering or varnishing workpiece surfaces. During the operating cycle of a robot, a spraying gun obtains from the robot's control unit a continuously or gradually varying desired value for the lacquer flow. Moreover, the lacquer flow to the spraying gun is measured and readjusted by adjusting the flow resistance on the flow path between lacquer distributor and spraying gun in accordance with its deviation from the present desired value. Moreover, during one operating circle of the robot, the robot's control unit adjusts continuously or gradually varying values for the sprayer and/or horn air flow of the spraying gun. The method relates to the application of lacquer by means of a spraying gun and thus differs basically from the coating method of the present invention in which the coating material is applied by a dosing pump, a dosing arm being movable over the substrate and a rotary drive for rotating the substrate. In this method, especially the quality of the coating of lacquer is important. In particular, it is intended to avoid the occurrence of drops or blots during the application of lacquer. Therefore, the lacquer flow is adapted to the sprayer air. The problem of regulating the thickness of the coating material is not mentioned in the cited reference.

It was found that there is a reproducible relation between the temperature of the substrates to be coated, the temperature of the coating material and the viscosity of the coating material, on the one hand, and the expected coating thickness during bonding of substrates, on the other hand. Fig. 3 shows, for example, the dependency of the viscosity of the bonding material on the temperature. It was found that if the substrate temperature changes from 40° to 45°C, the bond coating thickness changes from 40 to 35 μ m. For many fields of application, in particular in the case of DVDs, it is of great importance that the bond coating thickness meets narrow tolerances.

Therefore, it is an object of the present invention to provide a method and a device for regulating the coating thickness, wherein a reproducible high accuracy of the coating thickness is achieved.

The object is achieved with the features of the claims.

In achieving the object, the invention starts out from the basic idea of taking into account varying variables (disturbance variables) which influence the coating thickness or bond coating thickness during coating, in particular during bonding, and of controlling bonding in accordance with their influence. During coating/bonding the coating thickness is measured and deviations from a desired value are readjusted. The temperature of the substrate(s) and the temperature of the bonding material, which influence the viscosity of the bonding material, are taken into account as varying variables. The influences of the disturbance variables on the coating thickness and bond coating thickness are determined empirically, and the aggregates involved in the coating and bonding process, such as a dosing pump, a dosing arm, a rotary drive for the coating material application and bonding material application as well as a connecting means for connecting the substrates and a rotary centrifugal drive are controlled in accordance with an algorithm which takes into account the influences of the disturbance variables, in order to achieve a coating thickness which meets a given desired value.

It is an advantage of the present invention that the coating thickness can be adjusted very accurately and that there is a very low reject rate, e.g. in connection with DVDs produced in accordance with the present invention, so that the production process leads to an increased yield.

In the following, the invention is explained in more detail in connection with the drawings in which

Figs. 1a to 1c are schematic representations of the bonding process in which the present invention can be applied,

Fig. 2 is a block diagram of the program control of the present invention,

Fig. 3 is a diagram representing the dependency of the viscosity of the bonding material on the temperature.

Fig. 1a alone can be regarded as a representation of the bonding process in general. In this process, a coating/bonding material 7 is pumped by a dosing pump 1 out of a reservoir 6 and sprayed onto a substrate S1 via a dosing arm 2. The height of the dosing arm 2 can be adjusted with respect to the substrate 1, and said dosing arm can be moved radially over the substrate. The substrate S1 is located on a plate 9 with is kept in a motion of rotation by a rotary drive 3. The layer or coating 8 is thus formed on the substrate S1. Since the temperature during the coating process and the temperature of the material or substrate used during this process are in general not constant, the coating/bonding material and the substrate(s) have variable temperatures.

During bonding of two substrates, a connecting means places the second substrate S2 onto the coated substrate S1 (Fig. 1b).

Moreover, during bonding, excess bonding material of the coating 8 between the substrates S1 and S2 is spun off by a rotary centrifugal drive 5 (Fig. 1c).

During bonding, the processes according to Figs. 1b and 1c also influence the expected bond coating thickness, e.g. by the connecting pressure and the speed of the rotary centrifugal drive 5.

It was found that the bonding process as shown e.g. in Figs. 1a to 1c is influenced by disturbance variables such as the temperatures T1 and T2 of the respective partial substrates S1 and S2, the temperature T3 of the bonding material and the viscosity of the bonding material, so that the bond coating thickness deviates from a given desired value, which only depends on the bond material flow, its distribution on a substrate and the rotational speed of the substrate.

In accordance with the present invention, reproducible relations between the temperature, the viscosity of the coating material and the bond coating thickness are determined empirically and represented in the form of value tables and curve functions (cf. Fig. 3). The determined functional relations are made the basis of a control program for the aggregates of the coating process.

Fig. 2 shows a block diagram for controlling the bonding aggregates.

A computer PC having a memory-programmable controller (SPS) is provided. The disturbance variables such as temperatures T1 and T2 of the respective partial substrates S1 and S2 and the temperature T3 of the bonding material 7 and the kind or type—B of the bonding material are inputted into said programmable controller. The PC presets the desired value. Depending on an adapted software, the outputs 1, 2, 3, 4, and 5 of the programmable controller trigger the corresponding bonding aggregates: dosing pump 1, dosing arm 2, rotary drive 3 for the bonding material coating, connecting means 4 and rotary centrifugal drive 5. For example by increasing or decreasing the bond material input, the rotational speeds and/or the rotational time and the connecting pressure, the corresponding bonding aggregates then react against or compensate a deviation of the bond coating thickness from the desired value caused by the temperature change.

A device according to the present invention for performing a method for regulating the bond coating thickness comprises preferably sensors for measuring the disturbance variables, a means for controlling the bond coating thickness during the process and a processor comprising a PC and a programmable controller for controlling bonding in accordance with the disturbance variables and the measured bond coating thicknesses. The sensor for measuring the bond coating thickness is preferably an optical sensor.

Preferably, a plurality of sensors for measuring the coating thickness are provided at different radial distances from the rotational axis of the rotary drive 3, so that the coating thickness can be measured at different points and can be supplied to the controller PC/SPS.

When the method and the device according to the present invention are used in the production of optical storage disks (DVDs), a desired value for the bond LINE DAY THE RESERVE OF THE PROPERTY OF THE PERSONS

coating thickness of e.g. 55 μm is adjusted, which has a tolerance of \pm 10 μm in the radial direction and a tolerance of \pm 4 μm in the tangential direction.

In addition to regulating the bond coating thickness, the method and device according to the present invention can also be used for precisely regulating the thickness of other viscous coatings on surfaces, e.g. coatings of lacquer.

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Annex to the International Preliminary Examination Report

Claims

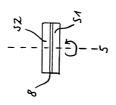
- 1. A method for applying thin coatings or layers of a viscous fluid onto plane substrates, in particular for forming bond layers between partial substrates (S1, S2) or coatings of lacquer on substrates thereby using a dosing pump (1) for the coating material (7), a dosing arm (2) which is movable over the substrate (S1), and a rotary drive (3) for rotating the substrate (S1) and by regulating the layer thickness to a desired value, wherein a regulator means controls the controlled variables for the dosing pump, the dosing arm and/or the rotary_drive thereby taking into account the influence of varying variables (disturbance variables).
- The method according to claim 1, characterized in that the disturbance variables which are taken into account are the temperatures (T1, T2) of the respective substrates (S1, S2) and the temperature (T3) of the coating material (7).
- The method according to claim 1 or 2, characterized in that the influence of the disturbance variables is determined empirically.
- 4. The method according to claims 1 to 3, wherein a connecting means (4) for connecting the substrates (S1, S2) after the formation of bond layers and a rotary centrifugal drive (5) for spinning off excess bonding material between the substrates (S1 and S2) after connection are controlled as further controlled variables.
- The method according to claims 1 to 4, characterized in that the coating/bonding is controlled by a PC/SPS (personal computer with programmable system) program.
- The method according to claim 5, characterized in that the dosing pump (1), the dosing arm (2), the rotary drive (3), the connecting means (4) are operated by step motors and that the rotary centrifugal drive (5) is a servomotor.

- 7. The method according to any one of claims 1 to 6, characterized in that the thickness of the coating/bond coating is measured during the process in a non-contacting manner and that deviations from the desired value are readjusted automatically.
- The method according to claim 7, characterized in that the desired value is a
 predetermined coating thickness range in the radial and tangential directions
 of the substrate
- The method according to claim 7 or 8, characterized in that the sensor is an optical sensor.
- The use of the method according to any one of claims 1 to 9 in the production of optical storage disks.
- 11. The use according to claim 10, characterized in that at a desired value of the bond layer thickness of 55 μm, the deviation or tolerance of the bond layer thickness is ± 10 μm in the radial direction and ± 4 μm in the tangential direction.
- A device for carrying out the method according to any one of claims 1 to 9 comprising
 - (a) sensors for measuring disturbance variables during coating/bonding of substrates.
 - (b) a means for measuring the thickness of the coating/bond coating during the process, and
 - (c) a processor for controlling coating/bonding in accordance with the disturbance variables and the measured thickness of the coating/bond coating by means of a controllable dosing pump (1), a dosing arm (2) and/or by means of a rotary drive (3, 5).

Abstract

Method and Device for Regulating the Coating Thickness, Especially Bond Coating Thickness

The invention relates to a method and a device for regulating the thickness of coatings or layers, in particular of bond coatings, wherein bonding is controlled in a programmed manner thereby taking into account the influence of disturbance variables. The invention can be used especially in the production of DVDs. The advantages of the present invention are reproducible accuracy in adjusting the thickness of the coating/bond coating and thus an increased production output.





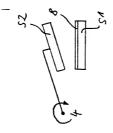


Fig. 1 b

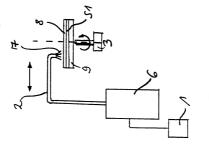


Fig. 1 a



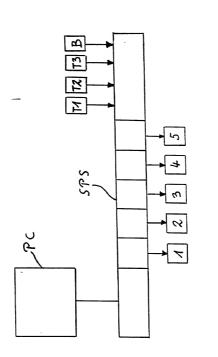


Fig. 2



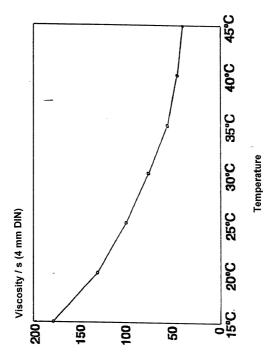


Fig. 3

FOR UTILITY/DESIGN CIP/PCT NATIONAL/PLANT CRIGINAL/SUBSTITUTE/SUPPLEMENTAL DECLARATIONS

RULE 63 (37 C.F.R. 1.63) DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PM & S FORM

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is lated below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED METHOD AND DEVICE FOR REGULATING THE COATING THICKNESS.

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| hereby state above. I ackr foreign priority Application w | that I have reviewed and sowledge the duty to disclor benefits under 35 U.S.C. iich designated at least or | se all information known to a 119(a)-(d) or 365(b) of any | ne above identifie ne to be material oreign application ted States, listed nee disclosing the | d specification, including the cl to patentability as defined in 3 n(s) for patent or inventor's cer below and have also identified subject matter claimed in this g date of this application: | ificate, or 365(a) of any | PCT International |
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| Except as not PCT internati application is defined in 37 application: PRIOR U.S | ed below, I hereby claim on onal applications listed ab- in addition to that disclose C.F.R. 1.56 which became | ove or below and, if this is a id in such prior applications, a available between the filing | er 35 U.S.C. 119(continuation-in-pa I acknowledge the date of each suc | e) or 120 and/or 300(c) of tile! Art (CIP) application, insofar as e duty to disclose all information the prior application and the nati ATION(S) | n known to me to be ma | terial to patentability as I filing date of this Priority NOT Claimed |
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| Paul N. Kol Raymond F | | _ Dale S. Lazar Paul E. White, Jr. | 28872 32011 | Mark G. Paulson Stephen C. Glazier | 31361 W. Patric | k Bengtsson _32456 |
| G. Lloyd Kr | | | 28458 | Paul F. McQuade | 31542 Jack S. E | Barufka 37087 |
| Carl G. Lov | | Kendrew H. Colton | 30368 | Ruth N. Morduch | 31044 Adam R. | Hess 41835 |
| Kevin E. Jo | | G. Paul Edgell | 24238 | Richard H. Zaitlen | 27248 | (00 |
| George M. | Sirilla 18221 | Lynn E. Eccleston | 35861 | Roger R. Wise | 31204 | (28) |
| Donald J. E | | Timothy J. Klima | 34852 | Jay M. Finkelstein | 21082 32617 | |
| Peter W. G. | owdey 25872 | _ David A. Jakopin | 32995 | Anita M. Kirkpatrick | | |
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| 2-11 | Edgar | | 2011 | | Family Name | |
| | | First | Middle Initia | | | |
| Residence | Kaul am Main | | GERMAN | | GERMANY | |
| 400 | | — Gity | | State/Foreign Country | C | ountry of Citizenship |
| Post Office | Address | Nassmuehlweg 2, D- | 63796 Kaul am | Main, GERMANY 🗸 | | |
| (include Zi | p Code) | | | | | |
| FOR AD | DITIONAL INVEN | TORS, "X" box 🛛 a | and proceed page (incor | on the attached page porated herein by ref | e to list each addit erence). | tional inventor. |
| | | | . • | | t. No. PM | |
| | | | | y. D. | | M#) |

DECLARATION AND POWER OF ATTORNEY

| | | Paihad 1 | ADDITIONAL INVÉN | | Jan. 11. 2000 |
|--|----------------|------------------|--------------------------|-----------------|------------------------|
| | 'S SIGNATURE: | parhad g | ext 10 | Date: | Jan. 12. 2000 |
| 3-00 | Reinhard | First | Middle Initial | | Family Name |
| Residence | Gelnhausen | Filst | GERMANY | NEX | GERMANY |
| residence | Cellinausen | City | | oreign Country | Country of Citizenship |
| ost Office Add | iress | Uferweg 20, D-63 | 571 Gelnhausen, GERMAI | | |
| include Zip Co | | | | | |
| | | Ecro S | | 2-4 | Dec. 21, 1999 |
| | 'S SIGNATURE: | 9660 g | <u> </u> | BICHMANN | De: 24, XIII |
| 40 | Eggo | | Middle Initial | DICHWAN | Family Name |
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| - 1 Off - 1 1 | | | sse 31, D-63571 Gelnhaus | | |
| ost Office Addinclude Zip Co | | Deutscholdenstra | SSE ST, D-0007T Geirmaus | Jen, GEnwaren | |
| include zip oc | dej | | | | |
| (5) INVENTOR | 'S SIGNATURE: | | | Date: | |
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| (6) INVENTOR | R'S SIGNATURE: | | | Date: | |
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| (8) INVENTO | R'S SIGNATURE: | | | Date: | |
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| | | City | State/F | Foreign Country | Country of Citizenship |
| Post Office Ad | | | | | |
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| (9) INVENTO | R'S SIGNATURE: | | | Date: | |
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| | | First | Middle Initial | | Family Name |
| Residence | | | | | |
| | | City | State/ | Foreign Country | Country of Citizenship |
| Post Office Ar | ddress | | | | |
| (include Zip C | (ode) | | | | |